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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. | | |
|---|-----------------|----------------------|-------------------------|------------------|--|--|
| 09/770,528 01/25/2001 | | Joseph A. Hedrick | DX0725K2B | 7799 | | |
| 75 | 590 03/06/2002 | | | | | |
| | -Peterson, Esq. | | EXAMI | NER | | |
| DNAX Research Institute 901 California Avenue | | | KEMMERER, ELIZABETH | | | |
| Palo Alto, CA 94304-1104 | | | ART UNIT | PAPER NUMBER | | |
| | | | 1646 | | | |
| | | | DATE MAILED: 03/06/2002 | 8 | | |

Please find below and/or attached an Office communication concerning this application or proceeding.

| | Appl | ication | No.: | 09/770 | <u>,528</u> |
|--|-------------|---------|------|--------|-------------|
|--|-------------|---------|------|--------|-------------|

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

| X | 1. | This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990. |
|---------------------------------------|---------------------------------------|---|
| | 2. | This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c). |
| | 3. | A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). |
| | 4. | A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." |
| | 5 | The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d). |
| | 6 | The paper copy of the "Sequence Listing" is not the same as the computer readable from of the "Sequence Listing" as required by 37 C.F.R. 1.821(e). |
| app with reference be use | s que olic h th ere ma | Other: The application discloses several sequences without the required reference to the relevant equence identifiers. For example, claim 25 recites numerous peptide sequences without reference to a nce identifier. It appears that these may be fragments of a longer sequence already listed in the ation. If so, the claims may be amended to recite (residues x to y of SEQ ID NO: N) in order to comply he sequence rules. Also, numerous fragments are disclosed at pp. 6-7 of the specification with a general nce to a longer sequence of which they are a part. This is insufficient. For each peptide, reference must add to the amino acid residues from the longer sequence (such as in the format given above, or the format part p. 90 of the instant specification). Finally, Table 5 discloses eight sequences without the required nce to the relevant sequence identifier. |

Applicant Must Provide:

An amendment to the application providing the required references to the relevant sequence identifiers.

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

For Patentin software help, call (703) 308-6856

PLEASE RETURN A COPY OF THIS NOTICE WITH YOUR RESPONSE



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|---------------|-------------|-------------|-------------|--------|----------------|
| 09/770,528 | | | | | |
| | | | | EVALUE | |
| | | | EXAMINER | | |
| | | | ART UNIT | | PAPER NUMBER |
| | | _ [| DATE MAILED | | 8 |

Please find below a communication from the EXAMINER in charge of this application

Commissioner of Patents

This application contains sequence disclosures that are encompassed by the definitions for nucleotide and/or amino acid sequences set forth in 37 CFR 1.821(a)(1) and (a)(2). However, this application fails to comply with the requirements of 37 CFR 1.821 through 1.825 for the reason(s) set forth on the attached Notice To Comply With Requirements For Patent Applications Containing Nucleotide Sequence And/Or Amino Acid Sequence Disclosures.

Applicant is given ONE MONTH, or THIRTY DAYS, whichever is longer, from the mailing date of this letter within which to comply with the sequence rules, 37 CFR 1.821 - 1.825. Failure to comply with these requirements will result in ABANDONMENT of the application under 37 CFR 1.821(g). Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). In no case may an applicant extend the period for reply beyond the SIX MONTH statutory period. Direct the reply to the undersigned. Applicant is requested to return a copy of the attached Notice to Comply with the reply.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Elizabeth C. Kemmerer, Ph.D., whose telephone number is (703) 308-2673. The examiner can normally be reached on Mondays through Thursdays from 6:30 a.m. to 4:00 p.m. The examiner can also normally be reached on alternate Fridays.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Yvonne Eyler, Ph.D., can be reached on (703) 308-6564.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group receptionist whose telephone number is (703) 308-0196.

Elyabet C. Kemmeres

Elizabeth C. Kemmerer, Ph.D. March 5, 2002